SEC Form 4

FORM 4

(State)

(Zip)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-028						
Estimated average burden						
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Instruction 1	nay continue. See (b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	ho	hours per response:		0.5	
			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Address of Reporting Person [*] Homolka David			2. Issuer Name and Ticker or Trading Symbol DULUTH HOLDINGS INC. [DLTH]		ationship of Repo (all applicable) Director Officer (give ti	, 10% Ov		
(Last) 201 EAST FI	(First) RONT STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/08/2020		below) SVP HR,Reta	I	below)	.,
(Street) MOUNT HOREB WI 53572	F2F72	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	ividual or Joint/Group Filing (Check Applicat			able	
		X	Form filed by One Reporting Person Form filed by More than One Reporting Person			ļ		

Table I - Non-Derivative Securities		Disposed of	or Reneficially	/ Owned
Table I - NUII-Derivative Securities	Acquireu		Of Deficitution	

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)		
Class B Common Stock	02/08/2020		F		3,347(1)	D	\$8.54	40,967	D			
Class B Common Stock	03/08/2020		F		639 ⁽¹⁾	D	\$6.58	40,328	D			

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(0 / 1		,		,	• •				,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispo of (D (Insti	5. Number of Expiration Date (Month/Day/Year) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Amount of		Amount of Derivative Securities Security Jnderlying (Instr. 5) Derivative Security (Instr.		Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents shares used to satisfy tax withholding obligation upon vesting of restricted stock.

Remarks:

by Dennis F. Connolly, as	
POA	

03/10/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

(City)